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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KISHIMOTO, Toshiki, et al.**

Attention: **Applications Division**

Serial Number: **10/531,284**

Group Art Unit: **2655**

Filed: **April 14, 2005**

**P.T.O. Confirmation No.: 5061**

For: **MAGNETIC OPTICAL ELEMENT, PROCESS FOR ITS PRODUCTION AND OPTICAL ISOLATOR INCORPORATED WITH THIS MAGNETIC OPTICAL ELEMENT**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: **October 27, 2005**

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **title is incorrect and should read as - -MAGNETIC OPTICAL ELEMENT, PROCESS FOR ITS PRODUCTION AND OPTICAL ISOLATOR INCORPORATED WITH THIS MAGNETIC OPTICAL ELEMENT- -**. A copy of the **Declaration** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

James E. Armstrong, IV

Attorney for Applicants

Reg. No. 42,266

JAM/bjb

Atty. Docket No. **050128**

Suite 1000

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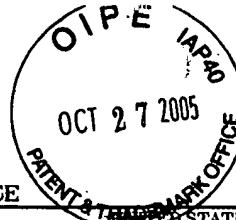
**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration



## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/531,284	04/14/2005	2655	1500	050128	6	14	6

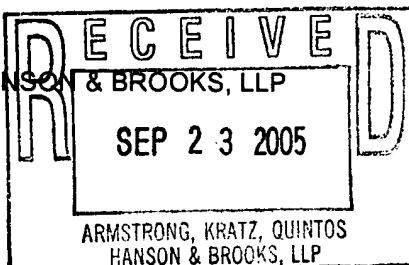
23850

ARMSTRONG, KRATZ, QUINTOS, HANSON &amp; BROOKS, LLP

1725 K STREET, NW

SUITE 1000

WASHINGTON, DC 20006



CONFIRMATION NO. 5061

FILING RECEIPT



\*OC000000017009046\*

Date Mailed: 09/21/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Toshiki Kishimoto, Tokyo, JAPAN;

Nobuo Nakamura, Tokyo, JAPAN;

**Assignment For Published Patent Application**

Sumitomo Metal Mining Co., Ltd., Minato-ku, Tokyo, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 23850.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP03/14312 11/11/2003

**Foreign Applications**

JAPAN 2002-331774 11/15/2002

JAPAN 2003-108543 04/14/2003

**Projected Publication Date:** 12/22/2005**Non-Publication Request:** No**Early Publication Request:** No

See  
9/26/05

**Title**

Magneto optic element and process for fabricating the same and optical isolator incorporating it  
 -- *Magnetic optical element, Process for its Production and optical isolator*  
 Preliminary Class *incorporated with this magnetic optical element --*  
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## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**DECLARATION FOR U.S. PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MAGNETIC OPTICAL ELEMENT, PROCESS FOR  
ITS PRODUCTION AND OPTICAL ISOLATOR INCORPORATED  
WITH THIS MAGNETIC OPTICAL ELEMENT**

the specification of which is attached hereto unless the following is checked

☒ was filed on \_\_\_\_\_ as United States Application Number or was filed on **NOVEMBER 11, 2003** as PCT

International Application Number **PCT/JP03/14312** and was amended on **MARCH 02, 2004** (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

**Priority Claimed**

(List prior foreign applications. See note A)

331774/2002  
(Number)

JAPAN  
(Country)

15/11/2002  
(Day/Month/Year Filed)

☒ Yes ☐ No

108543/2003  
(Number)

JAPAN  
(Country)

14/04/2003  
(Day/Month/Year Filed)

☒ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

(See note B)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**Status**

(List prior U.S. Applications)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

23850  
PATENT TRADEMARK OFFICE

Please direct all communications to the following address:

23850  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, ' 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C) Full name of sole or first inventor (given name, family name) Toshiki KISHIMOTO  
Inventor's signature Toshiki Kishimoto Date Feb 9, 2005  
Residence Tokyo, Japan Citizenship Japanese  
Post Office Address c/o Electronics Division, SUMITOMO METAL MINING Co., Ltd.  
1-6-1, Suehiro-cho Ohme-shi, Tokyo 198-8601 Japan

Full name of second inventor (given name, family name) Nobuo NAKAMURA  
Inventor's signature Nobuo Nakamura Date Feb. 09, 2005  
Residence Tokyo, Japan Citizenship Japanese  
Post Office Address c/o Electronics Division, SUMITOMO METAL MINING Co., Ltd.  
1-6-1, Suehiro-cho Ohme-shi, Tokyo 198-8601 Japan

Full name of third inventor (given name, family name) \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Full name of fourth inventor (given name, family name) \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Full name of fifth inventor (given name, family name) \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

## NOTES

- A. Please list all foreign applications relating to the invention and check block, "yes" or "no".
- B. If more than 4 prior foreign applications, please check this box and attach a sheet listing the remaining prior foreign applications.
- C. For residence in the U.S., indicate city and state, for residence outside the U.S., indicate city and country. The "Post Office Address" must be an address acceptable by a Post Office for delivery of mail.